

## PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

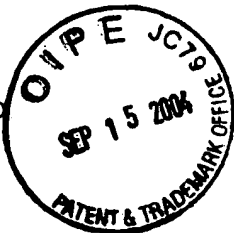
Mail Stop ISSUE FEE  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 or Fax (703) 746-4000

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

21302 7590 07/14/2004

KNOBLE, YOSHIDA & DUNLEAVY  
 EIGHT PENN CENTER  
 SUITE 1350, 1628 JOHN F KENNEDY BLVD  
 PHILADELPHIA, PA 19103



Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

## Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (703) 746-4000, on the date indicated below.

Iris C. Rousey (Depositor's name)  
 Iris C. Rousey (Signature)  
 September 13, 2004 (Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/650,506	08/29/2000	Sunao Kakizaki	HITACHI-0012	8562

TITLE OF INVENTION: OPTICAL ONE-TO-ONE PROTECTION SWITCHING APPARATUS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1330	\$0	\$1330	10/14/2004

EXAMINER	ART UNIT	CLASS-SUBCLASS
LI, SHI K	2633	398-002000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys, Knoble Yoshida & Dunleavy, or agents OR, alternatively,  
 (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

## 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Hitachi, Ltd.

Tokyo, Japan

Please check the appropriate assignee category or categories (will not be printed on the patent); ☐ individual ☒ corporation or other private group entity ☐ government

## 4a. The following fee(s) are enclosed:

- ☒ Issue Fee  
☐ Publication Fee (No small entity discount permitted)  
☒ Advance Order - # of Copies 3

## 4b. Payment of Fee(s):

- ☒ A check in the amount of the fee(s) is enclosed.  
☐ Payment by credit card. Form PTO-2038 is attached.  
☒ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 50-0462 (enclose an extra copy of this form).

## 5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is not claiming SMALL ENTITY status. See, e.g., 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

(Authorized Signature)

(Date)

September 13, 2004

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMIT THIS FORM WITH FEE(S)

## TRANSMITTAL OF PAYMENT OF ISSUE FEE (Large Entity)

(37 CFR 1.511(c))

Docket No.

HITACHI-0012

Applicant(s): KAKIZAKI, et al.

Application No.

09/650,506

Filing Date

August 29, 2000

Examiner

LI, Shi K.

Customer No.

21,302

Group Art Unit

2633

Confirmation No.

8562

Invention: OPTICAL ONE-TO-ONE PROTECTION SWITCHING APPARATUS

Mail Stop Issue Fee  
COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, VA 22313-1450

Transmitted herewith are the following for the above-identified application.

☒ Issue Fee Transmittal Form PTOL-85☒ Utility Fee: \$ 1330.00☐ Design Fee: \_\_\_\_\_☐ Plant Fee: \_\_\_\_\_☐ Publication Fee: \_\_\_\_\_☒ A check in the amount of \$1,339.00 is attached.☒ The Director is hereby authorized to charge and credit Deposit Account No. 50-0462 as described below.☐ Charge the amount of☒ Credit any overpayment.☒ Charge any additional fee required.☐ Payment by credit card. Form PTO-2038 is attached.

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

Signature

Dated: September 13, 2004

Ken I. Yoshida

Reg. No.: 37,009

KNOBLE YOSHIDA &amp; DUNLEAVY LLC

Eight Penn Center, Suite 1350

1628 John F. Kennedy Blvd.

Philadelphia, PA 19103

215-599-0600

CC:

**Certificate of Transmission by Facsimile**  
This certificate may only be used if paying  
by deposit account.

I certify that this document and authorization to charge deposit  
account is being facsimile transmitted to the United States Patent  
and Trademark Office (Fax No. \_\_\_\_\_)  
on \_\_\_\_\_

Date

Signature

Typed or Printed Name of Person Signing Certificate

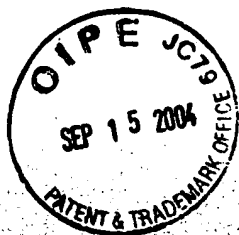
**Certificate of Mailing by First Class Mail**

I hereby certify that this correspondence is being deposited with  
the United States Postal Service with sufficient postage as first  
class mail in an envelope addressed to "Commissioner for Patents,  
P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)]  
on 9/13/04  
(Date)

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Correspondence

DATE : September 13, 2004  
CHE # : 11312  
AMOUNT : \$1,339.00  
ACCOUNT : 1  
PAID TO : United States Patent and Trademark Office



EXPLANATION : Filing Fee Payment (Issue Fee/HITACHI-0012)

CLIENT : Hitachi Ltd  
HITACHI-0012

ORIGINAL DOCUMENT PRINTED ON CHEMICAL REACTIVE PAPER WITH MICROPRINTED BORDER. SEE REVERSE SIDE FOR COMPLETE SECURITY FEATURES.

KNOBLE & YOSHIDA, LLC  
OPERATING ACCOUNT  
EIGHT PENN CENTER, SUITE 1350  
1628 JOHN F. KENNEDY BLVD  
PHILADELPHIA, PA 19103

PNCBANK  
PNC Bank, National Association  
Philadelphia, PA

11312

NUMBER 11312

\*\*\* One Thousand Three Hundred Thirty Nine \*\*\*

3-5/310

00/100

DATE

AMOUNT

September 13, 2004 \$1,339.00

PAY TO THE ORDER OF : United States Patent and Trademark Office

*[Signature]*

SECURITY  
FEATURES  
CLUB

THIS DOCUMENT CONTAINS HEAT SENSITIVE INK. TOUCH OR PRESS HERE. RED IMAGE DISAPPEARS WITH HEAT.

011312 031000053 8604926601

KNOBLE & YOSHIDA, LLC  
OPERATING ACCOUNT

11312

DATE : September 13, 2004  
CHE # : 11312  
AMOUNT : \$1,339.00  
ACCOUNT : 1  
PAID TO : United States Patent and Trademark Office  
CLIENT : Hitachi Ltd  
LAWYER : KIY  
MATTER : HITACHI-0012  
US 09/650,506 for 'Optical One for One Protection Switching Appar

Filing Fee Payment (Issue Fee/HITACHI-0012)